

# Interdependency Study of Process and Design Parameter Scaling for Power Optimization of Nano-CMOS Circuits under Process Variation

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## Abstract

In sub-65nm CMOS technology, switching power and gate as well as subthreshold leakage power are the major components of total power dissipation. To achieve power-performance trade-offs one varies different process ( $T_{ox}$ ,  $K$ ,  $V_{th}$ ) and design parameters ( $V_{DD}$ ,  $W$ ). Techniques for (i) dual- $K$  and dual- $T_{ox}$  have been proposed to reduce gate leakage, (ii) dual (multiple)- $V_{th}$  has been introduced to minimize subthreshold leakage, and (iii) dual (multiple)- $V_{DD}$  has been used to optimize dynamic power. In view of the optimization regime above, the following question arises: If  $T_{ox}$ ,  $L$ ,  $V_{th}$ , and  $V_{DD}$ , etc. are scaled simultaneously, will one obtain a power and performance optimal circuit that has minimal gate leakage, minimal subthreshold leakage, and minimal dynamic power consumption? The objective of this paper is to essentially answer this question. Assuming dual values of  $T_{ox}$ ,  $V_{th}$ , and  $V_{DD}$  for a particular  $K$ , we estimate the values for gate leakage, subthreshold leakage, dynamic power, and performance in architectural units such as adder, multiplexor, multiplier, etc. while accounting for process variation. Statistical variations in the parameters, each assumed to be Gaussian, are explicitly taken into account by using Monte Carlo simulations while characterizing the architectural units. The paper then analyzes the proportion of values of gate and subthreshold leakage and dynamic power in the total power consumption of these units. This in essence gives a relative and integrated perspective of various power-performance tradeoffs against the nominal case, thus serving as a guideline to help designers take appropriate decisions.

## 1. Introduction

Power dissipation is an important design constraint in high performance processor systems and system-on-chip (SOC) design, in addition to the case of traditional low power targeted applications,

such as portable systems. To meet the increasing demand of low power VLSI circuits with high performance, VLSI design engineers are implementing aggressive scaling in process as well as design parameters of CMOS transistors. Both dynamic and static power are significant fractions of total power dissipation in a nanoscale CMOS circuit. Each power component has several forms and origins; they flow between different terminals and in different operating conditions of a transistor as shown in Fig. 1.

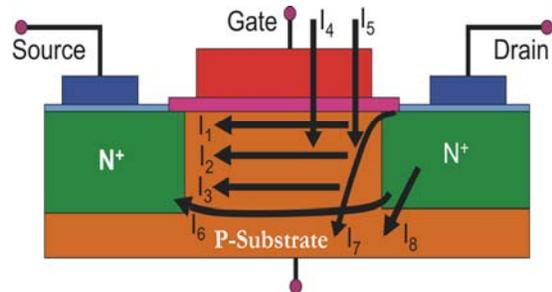
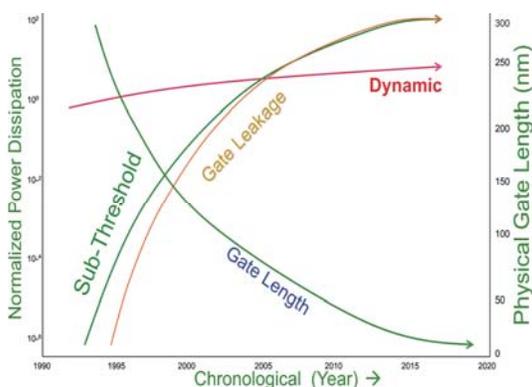


Figure 1. Current flow paths in a nano-CMOS transistor during power dissipation in different states of operation [4, 16, 24]:  $I_1$ : drain to source active current (ON state),  $I_2$ : drain to source short circuit current (ON state),  $I_3$ : subthreshold leakage current (OFF state),  $I_4$ : gate oxide leakage current (both ON and OFF states),  $I_5$ : gate current due to hot carrier injection (both ON and OFF states),  $I_6$ : channel punch through current (OFF state),  $I_7$ : gate-induced drain leakage (OFF state), and  $I_8$ : reverse bias PN junction leakage (both ON and OFF states).

In short channel nano-CMOS transistors, several short channel effects (SCE) become significant, such as drain induced barrier lowering (DIBL), large  $V_{th}$  roll-off, diminishing on-to-off current ratio and band-to-band tunneling (BTBT.) As a result, there has been a drastic change in the leakage components of the device both in the

inactive as well as active mode of operation. The leakage current in short channel nanometer transistors has diverse forms, such as reverse biased diode leakage, subthreshold leakage, SiO<sub>2</sub> tunneling current (leading to gate leakage), hot carrier gate current, gate induced drain leakage, and channel punch through current [24, 16, 4]. While biased diode leakage and SiO<sub>2</sub> tunnel currents flow during both active and sleep mode of the circuit, the other currents flow during the sleep mode only. The ITRS prediction of major sources of power dissipation, such as dynamic current, subthreshold leakage and gate leakage is presented in Fig. 2 [1, 8].



**Figure 2. Prediction of trends of major sources of power dissipation in nanoscale CMOS transistors and circuits [1, 8].**

It has been observed that the principal power components (dynamic current,  $I_{dyn}$ ) and leakage components (gate leakage,  $I_{gate}$ , and subthreshold leakage,  $I_{sub}$ ) predominantly depend on the gate oxide thickness ( $T_{ox}$ ), threshold voltage ( $V_{th}$ ) and supply voltage ( $V_{DD}$ ). Hence any methodology for power optimization must focus on the variation of these process and design parameters.

The rest of the paper is organized as follows: in Section 2 we outline the novelty and contributions of this paper. In Section 3, we summarize relevant research considering power optimization in nano-CMOS technologies. In Section 4, a hierarchical methodology to characterize architectural level units for gate leakage, subthreshold leakage, and dynamic power, as well as their propagation delay is presented. In Section 5, we investigate the effects of process variation on scaling. In Section 6, we examine the effects of scaling on individual power components. The paper concludes in Section 7.

## 2. Contributions of this Paper

The contributions of this paper are in many forms. First, we develop a methodology to characterize nano-CMOS based architectural components for gate leakage, subthreshold leakage, and dynamic power while simultaneously accounting for process variation. We consider several input design corners and translate them to output power performance corners, which can be used by design engineers for design space exploration. We provide statistically characterized models, which were simulated at transistor level for obtaining the mean ( $\mu$ ) and standard deviation ( $\sigma$ ) of gate oxide leakage, subthreshold leakage, dynamic current and propagation delay with simultaneous variation of all process and design parameters. These characterization data can be eventually used in a power optimization framework. We analyze the interdependency of  $T_{ox}$ ,  $V_{th}$ , and  $V_{DD}$  scaling on various power (current) components with and without process variation. In particular, seven different cases, such as (1) only  $T_{ox}$  scaling, (2) only  $V_{th}$  scaling, (3) only  $V_{DD}$  scaling, (4) simultaneous  $T_{ox}$  and  $V_{th}$  scaling, (5) simultaneous  $T_{ox}$  and  $V_{DD}$  scaling, (6) simultaneous  $V_{th}$  and  $V_{DD}$ , and (7) simultaneous  $T_{ox}$ ,  $V_{th}$ , and  $V_{DD}$  scaling, are analyzed for optimization of various forms of power; at the same time, the performance penalty has been tabulated.

## 3. Related Research

The current literature contains many research contributions considering various form of scaling for power optimization. These include mainly dual- $V_{th}$ , dual- $T_{ox}$ , and dual- $V_{DD}$  designs. However, these scaling methods have been applied independently. For example, researchers apply dual- $V_{th}$  to reduce subthreshold leakage without studying its impact on gate leakage and dynamic power. On the other hand, the goal of this research is to study the interdependency of these scaling methods from power (current) and performance (delay) point of view.

### 3.1. Dual $V_{th}$ Works

Multiple threshold CMOS have been used by Pant *et al.* [21] as well as Rao *et al.* [23] for subthreshold current reduction. Khouri and Jha [10] proposed a dual- $V_{th}$  technique for subthreshold leakage analysis and reduction during behavioral synthesis, targeting the least used

modules as the candidates for leakage optimization. Gopalakrishnan and Katkooori in [6, 7] also use the multi-threshold CMOS approach for reduction of subthreshold current during high level synthesis and propose binding algorithms for power, delay, and area trade-off. They used a clique partitioning approach in [7] and a knapsack based binding algorithm in [6]. In [14], Liu *et al.* have applied probabilistic analysis to  $V_{th}$  variation. The analysis of dual  $V_{th}$  design methodology is done in the presence of large variations in threshold voltage. In [2], a dual  $V_{th}$  and dual  $T_{ox}$  technique is applied to SRAMs in order to reduce leakage. In [12], a dual  $V_{th}$  FPGA architecture has been proposed in which the logic elements are used for dual  $V_{th}$  assignment. In [28], Wei *et al.* have tried to reduce the leakage power by using high  $V_{th}$  transistors in the non-critical paths, and low  $V_{th}$  transistors in the critical paths.

### 3.2. Dual $T_{ox}$ Related Works

In [19] Mukherjee *et al.* have proposed a gate oxide leakage minimization approach using dual- $T_{ox}$  and dual-K. Mohanty *et al.* in [17] have presented analytical models and a datapath scheduling algorithm for reduction of tunneling current. The heuristic assigns higher thickness resources to more leaky nodes (multipliers), but does not address the area overhead. In [13], Lee *et al.* developed a method for analyzing gate oxide leakage current in logic gates and suggested utilizing pin reordering to reduce gate leakage. Sultania *et al.* in [27], developed an algorithm to optimize the total leakage power by assigning dual  $T_{ox}$  values to transistors in a given circuit. In [26] Sirisantana and Roy use multiple channel lengths and multiple gate oxide thickness for reduction of leakage. In [20], Mukhopadhyay *et al.* have carried out extensive modeling and estimation of total leakage current of CMOS devices considering the effect of parameter variation.

### 3.3. Dual $V_{DD}$ Related Works

The research using this technique is quite mature and several approaches have been proposed in the literature over the last several years [18, 15, 9, 25, 5]. Certain types of circuitry called Level Converters are used for this purpose. The transistors on critical paths are operated on a higher supply voltage (VDDH), whereas transistors on the non-critical paths are operated on a lower supply voltage (VDDL) [11] and [22].

## 4. Process Variation Aware Power and Performance Characterization Methodology for Architectural Units

In this section we present a hierarchical methodology to characterize architectural level units for gate leakage, subthreshold leakage, and dynamic power, as well as their propagation delay as shown in Fig. 3. Initially a 2 input NAND gate was designed and layout tested for functional correctness. Via Monte Carlo simulations, we translated the process and design variations (inputs) into gate leakage, dynamic and subthreshold current and delay probability density distributions (outputs). The input process and design variations are assumed to be Gaussian in nature. This is demonstrated in Fig. 4.

While state dependent data are obtained at the logic level and at the architectural level we followed a state independent approach. This was done by using the state averaged data derived from the characterized NAND gate. In order to account for the lognormal distribution of the currents at the gate level, we used the Central Limit Theorem. Since a typical functional unit is comprised of hundreds of NAND gates, according to the theorem the leakage, dynamic and subthreshold currents for the total unit will be normally distributed even though the same currents are lognormally distributed for each individual gate.

Following the above discussion, we can model the currents and the delays of the functional units (typically synthesized into a network of 2-input NAND gates) by utilizing the characterized data for a 2-input NAND gate. Since the total current in the functional unit can be defined as the sum of currents in the individual NAND gates comprising the unit, and the distributions for each gate are statistically independent of each other, the mean and variance of the currents can be derived as:

$$\begin{aligned} \mu_{FU} &= N * \mu_{NAND} \\ \sigma_{FU} &= \sqrt{N} * \sigma_{NAND} \end{aligned} \quad (1)$$

where there are  $N$  NAND gates in the implementation of the functional unit. From the above equations the mean and the variance of  $I_{gate}$ ,  $I_{sub}$  and  $I_{dyn}$  for each of the functional units was calculated. The calculation of the mean and variance for the delay  $T_{PD}$  also was performed in a similar manner. At the end of this procedure, a complete process and design variation aware cell library was obtained and used in the subsequent optimization procedure.

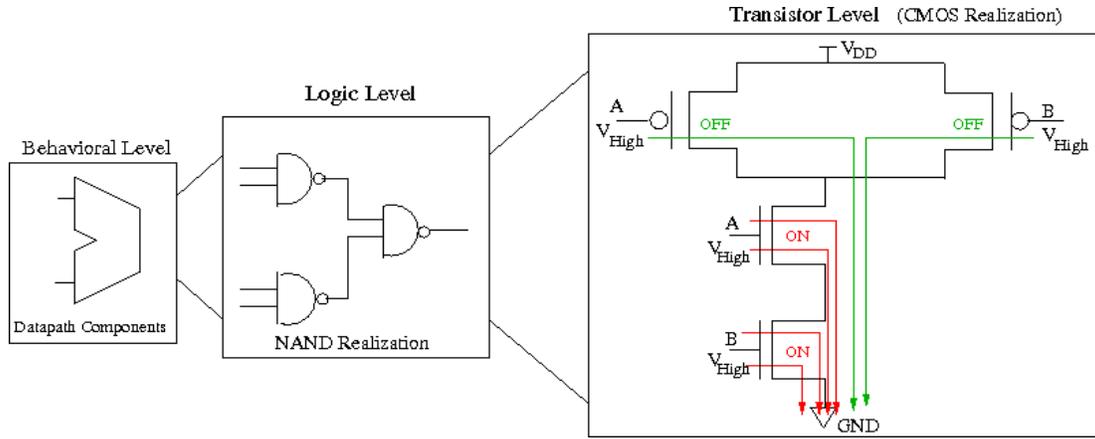


Figure 3. The three levels of hierarchy

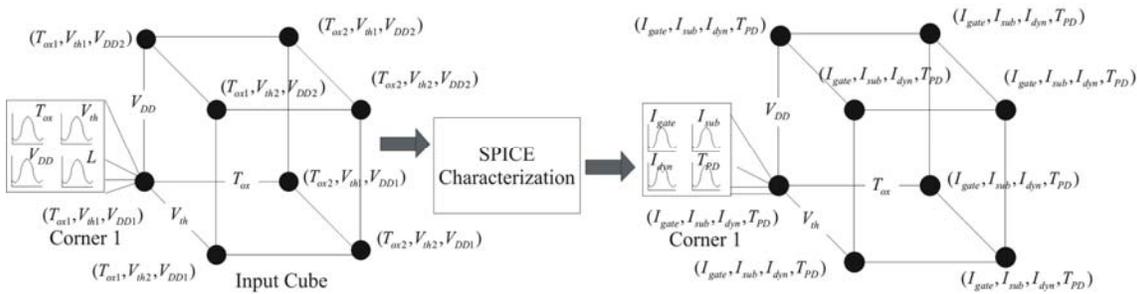


Figure 4. Simulation methodology accounting for statistical process variation for power optimization.

## 5. Accounting for Process Variation with Scaling

In this section we describe the methodology by which the statistical information regarding process and design parameter variability is translated into statistical information about power consumption and performance, as shown in Fig. 4.

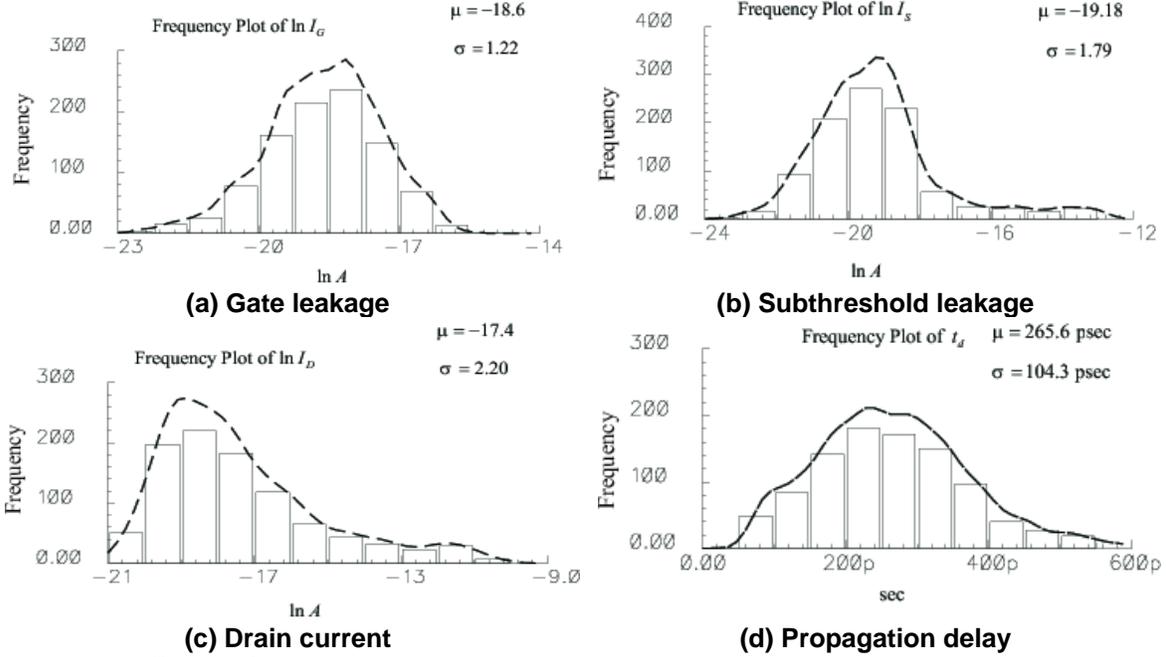
The SPICE characterization engine considers the combination of the dual values of the three input parameters ( $T_{ox}$ ,  $V_{th}$ , and  $V_{DD}$ ) as eight corners of a design cube. The engine then processes each corner of the input cube and generates a corresponding output cube. The output consists of eight power and propagation delay ( $T_{PD}$ ) probability density functions, each set corresponding to a particular design corner of the input cube. The provided statistical information for each input corner is used to obtain sets of current ( $I_{gate}$ ,  $I_{sub}$ , and  $I_{dyn}$ ) and propagation delay ( $T_{PD}$ ) probability density functions, each set corresponding to a particular design corner of the input cube. The provided statistical information for each input corner is used to generate  $N = 1000$

Monte Carlo runs (per corner) which provides the statistical distributions of the output parameter.

It was observed that with normally distributed input parameters, the distribution of the output currents was lognormal (as expected from their exponential dependence on the inputs) while that of the propagation delay was Gaussian. Sample distributions of the logarithms of the currents (which are normally distributed) and the delay are shown in Fig. 5.

## 6. Analysis of Effects of Scaling on Individual Power Components

Characterization data for various corners are shown in Fig. 6. For the analysis, we consider the 8 corners of the cube as nominal corners. Corner 1 is considered as the baseline corner having values of  $V_{DD} = 0.7V$ ,  $T_{ox} = 1.4nm$  and  $V_{th} = 0.22V$  as typical values for a 45nm CMOS technology. The values in the other nominal corners are varied with respect to this corner. Then these nominal corners are processed through SPICE, and the outputs obtained are the values of  $I_{gate}$ ,  $I_{sub}$ ,  $I_{dyn}$ , and  $T_{pd}$ .



**Figure 5. Effects of statistical process variation on gate leakage, subthreshold leakage, dynamic power and propagation delay in a 2-input NAND gate.**

For the purposes of this analysis, we are considering the case of a divider only, the most power consuming component. But the trend is the same for other datapath components as well. In total we had 7 cases. These are nominal results without considering statistical distributions.

In the next section we will consider the results with the distribution. It should also be pointed out that, in this discussion, we refer to “scaling” as the process of reduction of power. In that sense, scaling  $V_{DD}$  implies *reduction* in its value but scaling  $T_{ox}$  and  $V_{th}$  implies an *increase* in their values.

### 6.1. Only $T_{ox}$ scaling

This case may arise when  $T_{ox1}$  changes to  $T_{ox2}$ , e.g.  $(T_{ox1}, V_{th1}, V_{DD1})$  versus  $(T_{ox2}, V_{th1}, V_{DD1})$ . In this case we observe that all power components are reduced with an overall reduction of 91.6% achieved. As expected, the increase in oxide thickness results in a 65.8% delay penalty.

### 6.2. Only $V_{th}$ scaling

Scaling  $V_{th}$  only  $((T_{ox1}, V_{th1}, V_{DD1})$  versus  $(T_{ox1}, V_{th2}, V_{DD1})$ ): In this case we observe that total

power dissipation decreases by 46.1% while the delay penalty is only 17%.

### 6.3. Only $V_{DD}$ scaling

Scaling  $V_{DD}$  only  $((T_{ox1}, V_{th1}, V_{DD1})$  versus  $(T_{ox1}, V_{th1}, V_{DD2})$ ): In this case we observe that total power dissipation decreases by 57.8% with a modest 21.4% delay penalty.

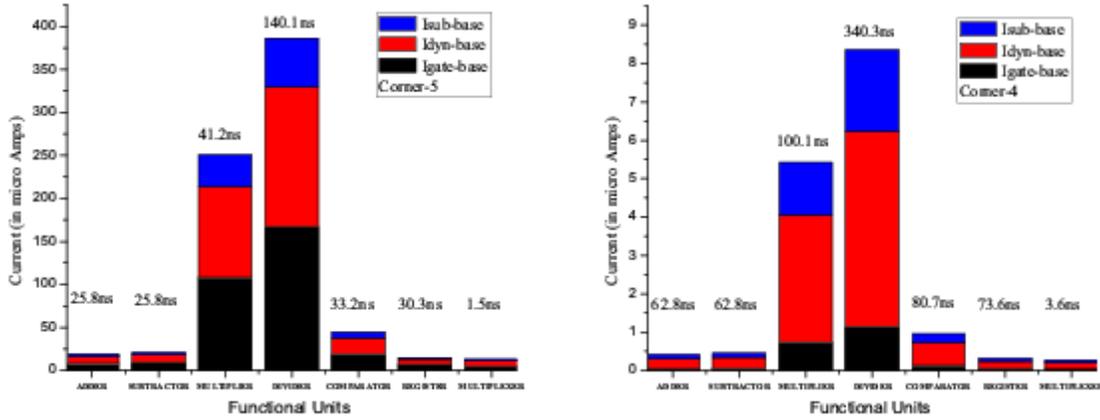
### 6.4. Simultaneous $T_{ox}$ and $V_{th}$ Scaling

$((T_{ox1}, V_{th1}, V_{DD1})$  versus  $(T_{ox2}, V_{th2}, V_{DD1})$ ): In this case the combined effect of increase in  $T_{ox}$  and  $V_{th}$  results in 94.8% reduction in power but a very significant 100% delay penalty. This is due to the inverse relation of delay to *both*  $T_{ox}$  and  $V_{th}$  [3]:

$$t_d \approx \frac{1}{T_{ox}(V_{DD} - V_{th})^2} \quad (2)$$

### 6.5. Simultaneous $T_{ox}$ and $V_{DD}$ Scaling

$((T_{ox1}, V_{th1}, V_{DD1})$  versus  $(T_{ox2}, V_{th1}, V_{DD2})$ ): As anticipated from Eq. 2, the delay penalty is again significant (101.4%) with similar reduction in power as in the previous case (93.4%).



(a) Design corner 5:  $T_{ox} = 1.4\text{nm}$ ,  $V_{th} = 0.22\text{V}$ , and  $V_{DD} = 0.9\text{V}$

(b) Design corner 4:  $T_{ox} = 1.7\text{nm}$ ,  $V_{th} = 0.25\text{V}$ , and  $V_{DD} = 0.7\text{V}$

Figure 6. Nominal results showing individual components of power consumption for different output corners. It may be noted that the total current values are reduced and the proportions of different components in the total current have changed. Only two corners are shown for brevity. In corner 5 vs. corner 4, all parameters have been scaled i.e.  $T_{ox}$  and  $V_{th}$  are increased and  $V_{DD}$  is decreased. This corresponds to the last column of Table 1.

Table 1. Percentage reduction in current dissipation and increase in propagation delay

Power Component	Parameter varied						
	$T_{ox}$	$V_{th}$	$V_{DD}$	$T_{ox} + V_{th}$	$T_{ox} + V_{DD}$	$V_{th} + V_{DD}$	$T_{ox} + V_{th} + V_{DD}$
Dynamic	88.3	52.6	70.1	94.3	93.4	73.5	96.8
Gate Leakage	96.2	11.3	69.2	97.7	98.8	72.7	99.3
Subthreshold Leakage	94.3	10.5	63.4	89.8	97.9	59.6	96.3
Total Current	91.6	46.1	57.8	94.8	96.4	71.1	97.8
Propagation Delay	65.8	17.0	21.4	100.0	101.4	42.1	142.9

### 6.6. Simultaneous $V_{th}$ and $V_{DD}$ Scaling

(( $T_{ox1}$ ,  $V_{th1}$ ,  $V_{DD1}$ ) versus ( $T_{ox2}$ ,  $V_{th2}$ ,  $V_{DD2}$ )): In this case we observe that since both  $V_{th}$  and  $V_{DD}$  have been scaled, by Eq. 2 we anticipate a more pronounced delay: a 42.1% increase. The overall power reduction is not as large as when  $T_{ox}$  is scaled (due to the exponential dependence of gate leakage on  $T_{ox}$ ): 71.1%.

### 6.7. Simultaneous $T_{ox}$ , $V_{th}$ , and $V_{DD}$ Scaling

We note that the effect of scaling all the parameters cannot be easily or accurately obtained from the responses (output results) of varying a single or a few parameter(s).

(( $T_{ox1}$ ,  $V_{th1}$ ,  $V_{DD1}$ ) versus ( $T_{ox2}$ ,  $V_{th2}$ ,  $V_{DD2}$ )): Finally, when all three parameters are scaled simultaneously, we obtain the power reduction of 97.8% and the worst delay penalty of 142.9% when averaged over all units. These performance

results, indicated in Fig. 6, are not easily anticipated from simple analysis of the prior 6 cases (corners 2 thru 7). This is difficult because of parameter interdependency and variation statistics, wherein comes the usefulness of our quick statistical library models and analysis methodology.

## 7. Conclusion

In this work a novel process variation aware power characterization methodology was presented. An exhaustive functional unit model library was created by considering the individual and combined variations of  $T_{ox}$ ,  $V_{th}$ , and  $V_{DD}$  via transistor level Monte Carlo SPICE simulations. The statistical variation of process and device parameters (assumed known) are thus transformed into a resulting characterization consisting of the mean and S.D. of  $I_{gate}$ ,  $I_{dyn}$ ,  $I_{sub}$  and delay of the functional units. The effect of scaling of three

parameters,  $T_{ox}$ ,  $V_{th}$ , and  $V_{DD}$  on various power (current) components was studied. It was observed that simultaneous scaling of all three may not result in expected power-performance tradeoff, with the expectation based on the effect of individual parameter variations. Hence, power optimization techniques in circuit or process design, which resort to parameter selection/assignment techniques, need to do so judiciously.

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